# $(2P_2, K_4)$ -Free Graphs are 4-Colorable

### Serge Gaspers<sup>1</sup> Shenwei Huang<sup>2</sup>

<sup>1</sup> School of Computer Science and Engineering, University of New South Wales

<sup>2</sup>College of Computer Science, Nankai University

The 10th Cross-strait Conference on Graph Theory and **Combinatorics** Taiwan, 22 August 2019

**K ロ ト K 伺 ト K ヨ ト K ヨ ト** 

 $2990$ 











Shenwei Huang (Nankai University)

メロメメ 御きメ ミカメ モド

÷.  $2990$ 

# <span id="page-2-0"></span>Graph Coloring

Shenwei Huang (Nankai University)

メロトメ 御 トメ 君 トメ 君 トッ

重。  $2990$ 

## Graph Coloring

Given a graph  $G = (V, E)$ , a function  $\phi : V(G) \rightarrow \{1, 2, \ldots, k\}$  is a *k*-coloring of *G* if  $\phi(u) \neq \phi(v)$  whenever  $uv \in E(G)$ .

イロト イ押 トイヨ トイヨ トー

÷.

 $2Q$ 

## Graph Coloring

Given a graph  $G = (V, E)$ , a function  $\phi : V(G) \rightarrow \{1, 2, \ldots, k\}$  is a *k*-coloring of *G* if  $\phi(u) \neq \phi(v)$  whenever  $uv \in E(G)$ .

The *chromatic number* of a graph *G*, denoted by  $\chi(G)$ , is the smallest *k* such that *G* has a *k*-coloring.

イロメ イ押メ イヨメ イヨメー

÷.  $QQ$ 

## Graph Coloring

Given a graph  $G = (V, E)$ , a function  $\phi : V(G) \rightarrow \{1, 2, \ldots, k\}$  is a *k*-coloring of *G* if  $\phi(u) \neq \phi(v)$  whenever  $uv \in E(G)$ .

The *chromatic number* of a graph *G*, denoted by  $\chi(G)$ , is the smallest *k* such that *G* has a *k*-coloring.



イロト イ押 トイヨ トイヨト

B

 $2Q$ 

## Clique Number

Let  $G = (V, E)$  be graph. A *clique* of G is a subset K of vertices such that every two vertices in *K* are adjacent. The *size* of *K* is the number of vertices in *K*.

イロメ イ押 メイヨメ イヨメ

÷.  $QQ$ 

## Clique Number

Let  $G = (V, E)$  be graph. A *clique* of G is a subset K of vertices such that every two vertices in *K* are adjacent. The *size* of *K* is the number of vertices in *K*.

イロメ イ押 メイヨメ イヨメ

 $QQ$ э

The *clique number* of *G*, denoted by  $\omega(G)$ , is the size of a largest clique of *G*.

# Clique Number

Let  $G = (V, E)$  be graph. A *clique* of G is a subset K of vertices such that every two vertices in *K* are adjacent. The *size* of *K* is the number of vertices in *K*.

イロメ イ押 メイヨメ イヨメ

ă.  $QQ$ 

The *clique number* of *G*, denoted by  $\omega(G)$ , is the size of a largest clique of *G*.

#### **Observation**

*For any graph G*,  $\chi(G) \geq \omega(G)$ .



### **Observation**

*For any graph G*,  $\chi(G) \geq \omega(G)$ *.* 

#### **Question**

Can we upper bound  $\chi(G)$  in terms of a function of  $\omega(G)$ ?

4 ロ ) (何 ) (日 ) (日 )

B

 $2Q$ 

- $\blacktriangleright \ \chi \leq 2\omega$ ?
- $\blacktriangleright \ \chi \leq \omega^2$ ?
- $\blacktriangleright \chi \leq 2^{\omega}$ ?



### **Observation**

*For any graph G*,  $\chi$ (*G*)  $\geq \omega$ (*G*).

### **Question**

Can we upper bound  $\chi(G)$  in terms of a function of  $\omega(G)$ ?

**K ロ ト K 伺 ト K ヨ ト K ヨ ト** 

B

 $2Q$ 

- $\blacktriangleright \ \chi \leq 2\omega$ ?
- $\blacktriangleright \ \chi \leq \omega^2$ ?
- $\blacktriangleright \chi \leq 2^{\omega}$ ?

No! Myceilsky Construction.



Special Graph Classes.

A class  $G$  of graphs is  $\chi$ -bounded if there exists a function  $f$ such that  $\chi(G) \leq f(\omega(G))$  for every graph  $G \in \mathcal{G}$ . The function *f* is called a  $\chi$ -bounding function for  $\mathcal{G}$ .

イロメ イ押 メイヨメ イヨメ

÷.  $QQ$ 



Special Graph Classes.

A class  $\mathcal G$  of graphs is  $\chi$ -bounded if there exists a function  $f$ such that  $\chi(G) \leq f(\omega(G))$  for every graph  $G \in \mathcal{G}$ . The function f is called a  $\chi$ -bounding function for  $\mathcal{G}$ .

#### Example

- Bipartite graphs:  $\chi = \omega = 2$ .  $\rightarrow f(x) = x$ .
- Berge graphs:  $\chi = \omega$ . (Strong Perfect Graph Theorem.)

**≮ロト ⊀伊 ▶ ⊀ ヨ ▶ ⊀ ヨ ▶** 

÷.  $QQ$ 

### *H*-Free Graphs

A graph is *H*-free if it does not contain *H* as an induced subgraph.

イロト 不優 トイモト 不思 トー

重。  $2990$ 

### *H*-Free Graphs

A graph is *H*-free if it does not contain *H* as an induced subgraph.

### Gyárfás Conjecture 1975

For every forest *T*, the class of *T*-free graphs is  $\chi$ -bounded.

K ロ ▶ K 御 ▶ K ヨ ▶ K ヨ ▶ ...

÷.  $QQ$ 

## *H*-Free Graphs

A graph is *H*-free if it does not contain *H* as an induced subgraph.

### Gyárfás Conjecture 1975

For every forest *T*, the class of *T*-free graphs is  $\chi$ -bounded.

#### Known

- $\triangleright$  paths (Gyárfás 1987)
- $\triangleright$  trees of radius at most 2 (Kierstead and Penrise 1994)
- $\triangleright$  special trees of radius at most 3 (Kierstead and Zhu 2004)

イロメ イ押 メイヨメ イヨメ

ă.

 $2Q$ 

 $\triangleright$  Two-legged caterpillars and double-headed brooms (Chudnovsky, Scott and Seymour 2017)

## Our Interests

We are interested in 2P<sub>2</sub>-free graphs.

Į Î Figure:  $2P_2$ .

イロト 不優 トメ 君 トメ 君 トー

重。  $2990$ 

## <span id="page-17-0"></span>Our Interests

We are interested in  $2P<sub>2</sub>$ -free graphs.

Figure: 2P<sub>2</sub>.

#### Wagon 1980 JCTB

Let *G* be a  $2P_2$ -free graph. Then  $\chi \leq {\omega+1 \choose 2}$  $_{2}^{+1}$ ).

Proof. Choose a maximum clique *K* and show that *G* can be partitioned into  $\binom{w}{2}$  $\binom{\omega}{2} + \omega = \binom{\omega + 1}{2}$  $_2^{+1}$ ) stable sets.

イロン イ何 メイヨン イヨン 一ヨー

 $QQ$ 

# Our Interests

We are interested in  $2P<sub>2</sub>$ -free graphs.

Figure: 2P<sub>2</sub>.

### Wagon 1980 JCTB

Let *G* be a  $2P_2$ -free graph. Then  $\chi \leq {\omega+1 \choose 2}$  $_{2}^{+1}$ ).

Proof. Choose a maximum clique *K* and show that *G* can be partitioned into  $\binom{w}{2}$  $\binom{\omega}{2} + \omega = \binom{\omega + 1}{2}$  $_2^{+1}$ ) stable sets.

 $Q \cap C$ 

### Questions by Wagon

- In What is the optimal  $\chi$ -bounding function?
- **I** What is the optimal bound when  $\omega = 3$ [?](#page-17-0)

Shenwei Huang (Nankai University)

## <span id="page-19-0"></span>The Main Result

- If  $\omega = 3$ , we know that
	- $\blacktriangleright \ \chi \leq 6$  by Wagon 1980.
	- $\triangleright$   $\chi$  < 5 by Esperet, Lemoine, Maffray and Morel 2013.

イロト イ何 トイヨ トイヨ ト

重  $2Q$ 

## The Main Result

### If  $\omega = 3$ , we know that

- $\blacktriangleright$   $\chi$  < 6 by Wagon 1980.
- $\triangleright$   $\chi$  < 5 by Esperet, Lemoine, Maffray and Morel 2013.

K ロ ▶ K 御 ▶ K ヨ ▶ K ヨ ▶ ...

重し  $200$ 

#### Theorem (S. Gaspers, H., 18)

*Every* (2*P*2, *K*4)*-free graph is 4-colorable.*

## The Main Result

### If  $\omega = 3$ , we know that

- $\blacktriangleright$   $\chi$  < 6 by Wagon 1980.
- $\triangleright$   $\chi$  < 5 by Esperet, Lemoine, Maffray and Morel 2013.

K ロ ▶ K 御 ▶ K ヨ ▶ K ヨ ▶ ...

÷.  $QQ$ 

#### Theorem (S. Gaspers, H., 18)

*Every* (2*P*2, *K*4)*-free graph is 4-colorable.*

If The bound is optimal:  $W_5$  and  $\overline{C_7}$ .

## The Main Result

### If  $\omega = 3$ , we know that

- $\blacktriangleright$   $\chi$  < 6 by Wagon 1980.
- $\triangleright$   $\chi$  < 5 by Esperet, Lemoine, Maffray and Morel 2013.

イロト イ押 トイヨ トイヨ トー

 $QQ$ 

#### Theorem (S. Gaspers, H., 18)

*Every* (2*P*2, *K*4)*-free graph is 4-colorable.*

- If The bound is optimal:  $W_5$  and  $\overline{C_7}$ .
- $\blacktriangleright$  The bound is a Vizing-type bound.
- $\triangleright$  Our proof is algorithmic.

# An Application

- **Determining**  $\chi(G)$  for a graph G is NP-complete.
- $\blacktriangleright$  Numerous studies on *H*-free graphs.

P. A. Golovach, M. Johnson, D. Paulusma, and J. Song. A survey on the computational complexity of coloring graphs with forbidden subgraphs.

イロメ イ押 メイヨメ イヨメ

 $QQ$ э

*J. Graph Theory*, 84:331–363, 2017.

# An Application

- **Determining**  $\chi(G)$  for a graph G is NP-complete.
- $\blacktriangleright$  Numerous studies on *H*-free graphs.

P. A. Golovach, M. Johnson, D. Paulusma, and J. Song. A survey on the computational complexity of coloring graphs with forbidden subgraphs.

イロメ イ押 メイヨメ イヨメ

ă

 $2Q$ 

*J. Graph Theory*, 84:331–363, 2017.

### Three Open Problems for  $(H_1, H_2)$ -Free Graphs

- $\blacktriangleright$  (4*P*<sub>1</sub>, *C*<sub>4</sub>).
- $\blacktriangleright$  (4*P*<sub>1</sub>, *K*<sub>1,3</sub>).
- $\blacktriangleright$  (4*P*<sub>1</sub>, *P*<sub>2</sub> + 2*P*<sub>1</sub>, *K*<sub>1,3</sub>).

## An Application

### Theorem (S. Gaspers, H., 18)

*There exists a 2-approxmiation algorithm for coloring*  $(4P_1, C_4)$ -free graphs.

イロト イ押 トイヨ トイヨ トー

ミー  $2Q$ 

# An Application

### Theorem (S. Gaspers, H., 18)

*There exists a 2-approxmiation algorithm for coloring*  $(4P_1, C_4)$ -free graphs.

#### Proof

Let *G* be a  $(4P_1, C_4)$ -free graph. Then  $\overline{G}$  is  $(2P_2, K_4)$ -free.

# An Application

### Theorem (S. Gaspers, H., 18)

*There exists a 2-approxmiation algorithm for coloring*  $(4P_1, C_4)$ -free graphs.

#### Proof

Let *G* be a  $(4P_1, C_4)$ -free graph. Then  $\overline{G}$  is  $(2P_2, K_4)$ -free.

By our main theorem, we have that  $\overline{G}$  can be partitioned into 4 stable sets. So, *G* can be partitioned into 4 cliques *K<sup>i</sup>* for  $1 < i < 4$ .

# <span id="page-28-0"></span>An Application

### Theorem (S. Gaspers, H., 18)

*There exists a 2-approxmiation algorithm for coloring*  $(4P_1, C_4)$ -free graphs.

#### Proof

Let *G* be a  $(4P_1, C_4)$ -free graph. Then  $\overline{G}$  is  $(2P_2, K_4)$ -free.

- By our main theorem, we have that  $\overline{G}$  can be partitioned into 4 stable sets. So, *G* can be partitioned into 4 cliques *K<sup>i</sup>* for  $1 < i < 4$ .
- ► Since *G* is  $C_4$ -free, both  $G[K_1 \cup K_2]$  and  $G[K_3 \cup K_4]$  are chordal.

# An Application

### Theorem (S. Gaspers, H., 18)

*There exists a 2-approxmiation algorithm for coloring*  $(4P_1, C_4)$ -free graphs.

#### Proof

Let *G* be a  $(4P_1, C_4)$ -free graph. Then  $\overline{G}$  is  $(2P_2, K_4)$ -free.

- By our main theorem, we have that  $\overline{G}$  can be partitioned into 4 stable sets. So, *G* can be partitioned into 4 cliques *K<sup>i</sup>* for  $1 < i < 4$ .
- ► Since *G* is  $C_4$ -free, both  $G[K_1 \cup K_2]$  and  $G[K_3 \cup K_4]$  are chordal.
- ► The value  $\chi(G[K_1 \cup K_2]) + \chi(G[K_3 \cup K_4])$  $\chi(G[K_1 \cup K_2]) + \chi(G[K_3 \cup K_4])$  $\chi(G[K_1 \cup K_2]) + \chi(G[K_3 \cup K_4])$  provides a 2-approximation.

<span id="page-30-0"></span>

### Theorem (S. Gaspers, H., 18)

*Every* (2*P*2, *K*4)*-free graph is 4-colorable.*

High level idea:

Shenwei Huang (Nankai University)

イロト 不優 トイモト 不思 トー

重し  $2Q$ 



### Theorem (S. Gaspers, H., 18)

*Every*  $(2P_2, K_4)$ -free graph is 4-colorable.

High level idea:

- $\triangleright$  Reduce to  $C_5$ -free graphs and then use a theorem of Chudnovsky, Robertson, Seymour and Thomas.
- M. Chudnovsky, N. Robertson, P. Seymour, and R. Thomas.

イロメ イ押 メイヨメ イヨメ

 $QQ$ 

*K*4-free graphs with no odd holes. *J. Combin. Theory, Ser. B*, 100:313–331, 2010.





### Need to do this in a series of four steps.

 $290$ 

Shenwei Huang (Nankai University)





### Need to do this in a series of four steps.

If *G* contains an  $H_1$ , the theorem holds.





#### Need to do this in a series of four steps.

- If *G* contains an  $H_1$ , the theorem holds.
- If *G* contains an  $H_2$ , the theorem holds.





### Need to do this in a series of four steps.

- If *G* contains an  $H_1$ , the theorem holds.
- If *G* contains an  $H_2$ , the theorem holds.
- If *G* contains a  $W_5$ , the theorem holds.





### Need to do this in a series of four steps.

- If *G* contains an  $H_1$ , the theorem holds.
- If *G* contains an  $H_2$ , the theorem holds.
- If *G* contains a  $W_5$ , the theorem holds.
- If *G* contains a  $C_5$ , the theorem holds.

Shenwei Huang (Nankai University)

### <span id="page-37-0"></span>Future Research

Shenwei Huang (Nankai University)

メロメメ 御きメ 老き メ 悪き し

 $E = \Omega Q$ 

### Future Research

#### Open

- **IDED** What is the optimal *χ*-bounding function for  $2P_2$ -free graphs?  $\omega^{1+\epsilon}$ ?
- What is the optimal  $\chi$ -bounding function when  $\omega = 4$ ?  $\rightarrow$  5  $\leq \chi \leq 10$ .

**K ロ ▶ K 何 ▶ K ヨ ▶ K ヨ ▶** 

 $\equiv$   $\Omega$